IM

Docket No.: 057454-0093

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Customer Number: 20277

Shinichi KOBAYASHI, et al.

Confirmation Number: 2071

Application No.: 09/843,165

Group Art Unit: 2824

Filed: April 27, 2001

Examiner: Vu Anh Le

For:

ELECTRICALLY PROGRAMMABLE AND ERASABLE NONVOLATILE

SEMICONDUCTOR MEMORY DEVICE AND OPERATING METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

09/843,165

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information

Disclosure Statement was first cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this Information

Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references were cited in a corresponding Japanese Office Action and their relevance

are discussed therein. A copy of the Japanese Office Action, together with an English language

version thereof, is attached for the Examiner's information.

Please note the reference JP 63-260179 listed on the attached Japanese Office Action

was previously submitted to the U.S. Patent and Trademark Office on November 7, 2005.

Please charge any shortage in fees due in connection with the filing of this paper,

including extension of time fees, to Deposit Account 500417 and please credit any excess fees to

such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

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